238506US0X/ims

### IN THE UNITED THE PARTIES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Wolfgang LORTZ, et al.

SERIAL NO:

10/644,755

GAU:

1761

FILED:

August 21, 2003

**EXAMINER:** 

FOR:

STABILIZED, AQUEOUS SILICON DIOXIDE DISPERSION

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Cowen

Frederick D. Vastine, Ph.D.

Registration No. 27,013

Corwin P. Umbach, Ph.D. Registration No. 40,211

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

		2 7 2005 E				SHE	:ET 1	OF 1	
Form PTO 1449 PATENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE				ATTY DOCKET NO. 238506US0X		SERIAL NO. 10/644,755			
LIST OF	REFEI	RENCES CITED BY A	PPLICANT	APPLICANT Wolfgang LORTZ, et al.					
			FILING DATE		GROUP				
				August 21, 2003		1761			
			<del></del>	U.S. PATENT DOCUMENTS	·	1.0.			
EXAMINER INITIAL		DOCUMENT DATE		NAME CLASS		SUB FILING DATE			
<del></del>	AA	4,027,046	05/31/1977	Benno BOHM, et al.					
	AB								
	AC								
•	AD								
	AE								
	AF								
	AG								
	AH					<u></u>			
	ΑI								
	AJ								
	AK								
	AL								
	AM								
	AN								
			FO	REIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO				
	AO	0 656 872 B1	06/14/1995	EUROPE					
	AP						·		
	AQ								
	AR			·					
	AS								
	AT							•	
	AU								
	ΑV								
		OTHER I	REFERENCES (	Including Author, Title, Date, Pert	inent Pages, e	tc.)			
	AW								
	AX								
	AY	·							
	AZ				Addi	tional Refe	erences s	sheet(s) attached	
Examiner		<u> </u>		······································	Date Cor	Date Considered			
	itial if -	reference is considera	d whether or so	t citation is in conformance with MPE			citation	if not in	
conformance	and no	ot considered. Include	copy of this forn	i citation is in conformance with MPt i with next communication to applica	int.	ne unough	i citatiON	п пост	